

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6204063
<b>Application Number:</b>	09815589
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7446
<b>Title of Invention:</b>	Exchange trading of mutual funds or other portfolio basket products
<b>First Named Inventor/Applicant Name:</b>	Gary L. Gastineau
<b>Customer Number:</b>	22930
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<b>Filer Authorized By:</b>	Michael Jay Stimson
<b>Attorney Docket Number:</b>	00322.0007.CNUS01
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### Payment information:

Submitted with Payment		no			
File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (5B/08)	SupplDS.pdf	64415	no	2
			dc7d886d93682535a48570344c998c7227662266		
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2	Information Disclosure Statement (IDS) Filed (5B/08)	IDSByApplicant.pdf	130772 e04b084d8023a027e6dc77d4a4eb9920303e9fd5	no	2
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3	NPL Documents	HedgeFundReturnsYouCanMakeThemYourself.pdf	419665 b428755fafeaa2b657871e1efec347465ac7156b	no	8
Warnings:					
Information:					
4	NPL Documents	HedgeFundBenchmarksARiskBasedApproach.pdf	1408274 563a3a44711021eb4e7803ae6216154c388dca6da	no	16
Warnings:					
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5	NPL Documents	UnderstandingMutualFundAndHedgeFundStylesUsingReturnBasedStyleAnalysis.pdf	2301779 f6d10a418f65972d9a0018a1e000951ca3c3a368	no	57
Warnings:					
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6	NPL Documents	AssetAllocationManagementStyleAndPerformanceMeasurement.pdf	1464127 72c0ba30908c5a0d511c21f6b149bd948818db	no	27
Warnings:					
Information:					
7	NPL Documents	NewExchangeFundsNotJustSpidersAndWEBS.pdf	255598 218f993ac0379a60947838820ac3c5a84652f08	no	4
Warnings:					
Information:					
8	NPL Documents	InvestingAmexConsidersMutualFundTrading.pdf	162060 5ca0b04886dd4370c290c403ba1495e409d5a75	no	3
Warnings:					
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9	NPL Documents	TrackingModelsAndTheOptimalRegretDistributionInAssetAllocation.pdf	583074 41018becde76a3792f7b73d812dcce64ba91b3d2	no	7
Warnings:					
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10	NPL Documents	TestingAssetPricingModelsWithChangingExpectations.pdf	1120961 cd7d210a04a26d33ac38a6da11bb64eef81a99b	no	20
<b>Warnings:</b>					
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<b>Total Files Size (in bytes):</b>			7910725		
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